



Special FEI/Fuqua CFO Survey

All information will be used only in aggregate form. No individual data is recorded or made public. Please respond by midnight Eastern, Monday, January 12th. If you have any questions about this survey, please [contact us](#).

1. How many different fund families are included in your company's 401(k) investment options?

- We don't have a 401(k)
- 1 - 5
- 6 - 10
- More than 10

2. Do your company's 401(k) investment options include funds from companies tainted by the recent mutual fund scandal involving trading abuses?

- Yes
- No
- Don't know

3. In response to the ongoing investigations in the mutual fund industry, has your company made any changes to its 401(k) plan?

- Yes
- No but we have plans to change
- No but we are considering changes
- No and we do not have plans to change

Continue





4. Check all that apply to your company's 401(k) plan.

- We have eliminated tainted fund family(ies) from our 401(k) plans
- We have selectively eliminated tainted mutual funds, but not the entire family of funds, from our 401(k) plans
- We have added new providers
- We have added new or additional alternatives to mutual funds (check those that apply)
- ETFs Treasuries
- Individual stocks Other
- Index funds
- Other

5. Why did you make the change(s)? Check response(s) that closely align with your company's reasons.

- We did not feel comfortable doing business with a fund or fund company that appeared untrustworthy.
- We were concerned about legal/fiduciary consequences if we did not make the change(s).
- Employees requested the change(s).
- Performance was responsible for or played into the decision.
- Other

6. When did you make the changes?

- 4th Quarter 2003
- 1st Quarter 2004

Continue





Please check one from each category that best describes your company:

a. Industry	
<input type="radio"/> Retail/Wholesale <input type="radio"/> Mining/Construction <input type="radio"/> Manufacturing <input type="radio"/> Transportation/Energy <input type="radio"/> Communications/Media	<input type="radio"/> Tech [Software/Biotech] <input type="radio"/> Banking/Finance/Insurance <input type="radio"/> Service/Consulting <input type="radio"/> Other <input type="text"/>
b. Sales Revenue	c. Number of Employees
<input type="radio"/> Less than \$25 million <input type="radio"/> \$25-99 million <input type="radio"/> \$100-499 million <input type="radio"/> \$500-999 million <input type="radio"/> \$1-4.9 billion <input type="radio"/> Over \$5 billion	<input type="radio"/> Fewer than 100 <input type="radio"/> 100-499 <input type="radio"/> 500-999 <input type="radio"/> 1000-2499 <input type="radio"/> 2500-4999 <input type="radio"/> 5000-9999 <input type="radio"/> Over 10,000
d. Headquarters	e. Ownership
<input type="radio"/> Northeast <input type="radio"/> Mountain <input type="radio"/> Midwest <input type="radio"/> South Central <input type="radio"/> South Atlantic <input type="radio"/> Pacific	<input type="radio"/> Public, NYSE <input type="radio"/> Public, NASDAQ/AMEX <input type="radio"/> Private
f. Foreign Sales	
<input type="radio"/> 0% <input type="radio"/> 1-24% <input type="radio"/> 25-50% <input type="radio"/> Over 50%	

Continue





ARE YOU WILLING TO SPEAK WITH THE FINANCIAL TIMES AND POTENTIALLY OTHER MEDIA ABOUT YOUR COMPANY'S PRACTICES. FEI will share your contact information with reporters if you are interested.

- No thanks
- OK, please fill in contact information below

Name	<input type="text"/>
Title	<input type="text"/>
Company	<input type="text"/>
Phone	<input type="text"/>
Email	<input type="text"/>

[Click here to finish](#)

